

IAP15 Rec'd PCT/PTO 18 JUN 2007

**PATENT**

#04-0080-UNI

Case #T3108(C)

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OTPE IAP88  
JUN 18 2007  
PATENT & TRADEMARK OFFICE

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

"Assistant Commissioner for Patents  
Alexandria, VA 22313-1450"

on June 12, 2007

ELLEN PLOTKIN  
Reg. No. 36,636  
Attorney for Applicant(s)

6/12/07  
Date of  
Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Holyoak et al.

Serial No.: 10/585,364

Deposited: July 6, 2006

For: SYNERGISTIC NEUROPROTECTIVE COMBINATIONS OF FLAVANOLS, HYDROXYSTILBENES, FLAVANONES, FLAVONES, FLAVONOLS, PROANTHOCYANIDINS AND ANTHOCYANIDINS

Englewood Cliffs, New Jersey 07632  
June 11, 2007

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box: Patent Application  
Alexandria, VA 22313-1450

Sir:

In response to the "Notice of Missing Requirements" (copy enclosed) mailed May 9, 2007, from the Patent and Trademark Office, enclosed is a Declaration signed by the inventors.

Please deduct the \$130.00 surcharge from Deposit Account 12-1155. Any deficiency or overpayment should be charged or credited to this Deposit Account. This authorization is submitted in triplicate.

06/20/2007 GFREY1 00000118 121155 10585364

01 FC:1617 130.00 DA

Respectfully submitted,

*Plotkin*

Ellen Plotkin  
Reg. No. 36,636  
Attorney for Applicant(s)

EP/mt  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

|                             |                       |                  |
|-----------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/585,364                  | Caroline Dawn Holyoak | T3108(C)         |

201  
 UNILEVER INTELLECTUAL PROPERTY GROUP  
 700 SYLVAN AVENUE,  
 BLDG C2 SOUTH  
 ENGLEWOOD CLIFFS, NJ 07632-3100

EP

|                               |               |
|-------------------------------|---------------|
| INTERNATIONAL APPLICATION NO. |               |
| PCT/EP04/14072                |               |
| I.A. FILING DATE              | PRIORITY DATE |
| 12/09/2004                    | 01/07/2004    |

CONFIRMATION NO. 2485

371 FORMALITIES LETTER



\*OC000000023758073\*

Date Mailed: 05/09/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 07/06/2006
- Copy of the International Search Report filed on 07/06/2006
- Preliminary Amendments filed on 07/06/2006
- Information Disclosure Statements filed on 09/27/2006
- Oath or Declaration filed on 07/06/2006
- U.S. Basic National Fees filed on 07/06/2006
- Priority Documents filed on 07/06/2006
- Specification filed on 07/06/2006
- Claims filed on 07/06/2006
- Abstracts filed on 07/06/2006

MAY 14 2007

Received  
 Unilever Patent Dept.  
 Englewood Cliffs, NJ

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/585,364                  | PCT/EP04/14072                | T3108(C)         |